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2661

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PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/766,943
Filing Date	January 22, 2001
First Named Inventor	Gallant et al.
Art Unit	2661
Examiner Name	Unassigned
Total Number of Pages in This Submission	5+refs
Attorney Docket Number	RIC00016
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ENCLOSURES (Check all that apply)		
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<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
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<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	<input type="checkbox"/> 36 References;
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### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Eden U.I. Straight, Reg. No. 51,205 MCI, Inc.
Signature	
Date	May 12, 2004

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Chanay Robinson		
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Gallant et al.

Confirmation No.: 3388

Serial No.: 09/766,943

Art Unit: 2661

Filed: January 22, 2001

Examiner: Unassigned

Title: Intelligent Policy Server System and Method for Bandwidth Control in an ATM Network

INFORMATION DISCLOSURE STATEMENT

RECEIVED

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

MAY 18 2004

Technology Center 2600

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly and independently considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

The documents cited herein are submitted under 37 C.F.R. § 1.97(e)(2), and are before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, therefore no fee is due.

No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

Each of the documents listed on the attached form equivalent to Form PTO-1449 is in the English language.

It is respectfully requested that the documents cited in this Information Disclosure Statement be independently considered by the Examiner.

Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account No. 13-2491, and please credit any excess fees to such deposit account.

Respectfully submitted,



\_\_\_\_\_  
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Date: May 12, 2004

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FORM PTO-1449 (REV. 7-80)		U. S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. RIC00016	SERIAL NO. 09/766,943	
<p style="text-align: center;"><b>LIST OF DOCUMENTS CITED BY APPLICANT</b> <i>(Use several sheets if necessary)</i></p> <p style="text-align: center;">MAY 14 2004 JC33 PATENT &amp; TRADEMARK OFFICE</p>		APPLICANT(S): Gallant et al.				
		FILING DATE 1/22/2001	GROUP 2661			
		<b>U. S. PATENT DOCUMENTS</b>				
* EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	·6,078,586	06/2000	Dugan et al.			RECEIVED
	·6,081,525	06/2000	Christie et al.			MAY 18 2004
	·6,262,992	07/2001	Nelson et al.			Technology Center 2600
	·6,317,439	11/2001	Cardona et al.			
	·6,359,859	03/2002	Brolin et al.			
	·6,363,411	03/2002	Dugan et al.			
	·6,430,195	08/2002	Christie et al.			
	·6,463,062	10/2002	Buyukkoc et al.			
	·6,671,271	12/2003	Takemura et al.			
	·2002/0099854	07/2002	Jorgensen			
	·5,539,884	07/1996	Robrock II			
	·5,987,520	11/1999	Libby et al.			
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	·6,185,288	02/2001	Wong			
	·6,396,840	05/2002	Rose et al.			
	·6,674,746	01/2004	Lamarque III			
	·6,721,284	04/2004	Mottishaw et al.			
<b>FOREIGN PATENT DOCUMENTS</b>						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES <input type="checkbox"/> NO <input type="checkbox"/>
<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>						
EXAMINER				DATE CONSIDERED		
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>						

FORM PTO-1449 (REV. 7-80)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			ATTY. DOCKET NO.	SERIAL NO.	
 <b>LIST OF DOCUMENTS CITED BY APPLICANT</b> <i>(Use several sheets if necessary)</i>					RIC00016	09/766,943	
					APPLICANT(S): Gallant et al.		
					FILING DATE	GROUP	
			1/22/2001	2661			
<b>U.S. PATENT DOCUMENTS</b>							
* EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
		5,889,782	03/1999	Dendi			
		6,026,091	02/2000	Christie et al.			
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		6,181,703	01/2001	Christie et al.			
		6,314,103	11/2001	Medhat et al.			
		6,381,246	04/2002	Constantinof et al.			
		6,424,652	07/2002	Christie			
		6,483,837	11/2002	Howell et al.			
		6,490,273	12/2002	DeNap et al.			
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		6,704,327	03/2004	Gardner et al.			
		5,485,578	01/1996	Sweazey			
		6,195,332	02/2001	Tang			
		6,253,207	06/2001	Malek et al.			
		6,614,781	09/2003	Elliott et al.			
		6,643,258	11/2003	Ise et al.			
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES
							NO
<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>							
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